

# **ADP ALLOCATION METHODOLOGY: CURRENT AND HISTORICAL PRACTICES FY 2001-02 ADDENDUM**

## **PART I - OVERVIEW**

The Department announced an increase in funds allocated to provide Drug Medi-Cal (DMC) alcohol and other drug treatment services in California.

The Department received an increase of \$15.4 million in the Federal Fiscal Year (FFY) 2002 Substance Abuse Prevention and Treatment (SAPT) Block Grant award. The increase was distributed as follows: \$9.3 million was allocated for discretionary purposes, \$3.1 million was allocated as part of the Prevention Set-Aside, and \$0.8 million was allocated as part of the HIV Set-Aside. These funds were allocated on May 16, 2002 (ADP Bulletin 02-9) and must be expended by June 30, 2003.

The Fiscal Year (FY) 2001-02 State General Fund (SGF) appropriation for non-DMC Perinatal Services was reduced by \$1 million between the Governor's Preliminary Allocation and the Budget Act Allocation. This reduction was allocated to the Perinatal SGF and Women and Children's Residential Treatment Services (WCRTS) programs on 75%-25% basis. Counties that receive Perinatal SGF and WCRTS funds were given the option to choose to which program the reduction was applied. The Perinatal SGF reduction was applied according to the methodology described in the Supplemental Report to the California State Legislature, February 1, 1998. *Reductions in funding will be distributed proportionate to the total allocation base to each non-Minimum Base Allocation (MBA) county until the reduction equals five percent statewide. If losses exceed five percent and there are not sufficient backfill revenues to decrease the loss to five percent, then all counties including the MBA counties shall participate in funding reductions on a proportionate basis above five percent.*

## **PART II - STATE GENERAL FUNDS**

### **SGF DMC- \$42,648,334**

At the beginning of FY 2001-02, \$49,068,400 was appropriated for SGF DMC services. The Department allocated \$42,036,825 to the counties. The remainder was retained at the Department to allow for adjustments throughout the year. These funds were allocated to DMC counties based on the lesser of the county's final FY 2000-01 DMC contract amount or projections based on claims in FY 2000-01. The amounts include final contract amounts held by the county and any direct DMC contracts within the county. At the May Revision the caseload estimate for FY 2001-02 was reduced to \$42,648,334.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

**SGF Ongoing- \$24,728,000**

The Department allocated \$24,728,000 for SGF Ongoing purposes in FY 2001-02. Non-DMC MBA counties received the MBA (at least as much regular SGF as allocated in FY 1993-94). Lake County is a DMC county and therefore did not receive the MBA. The balance was distributed to DMC counties proportionate to the FY 1993-94 SGF loss.

**Perinatal SGF DMC- \$2,623,204**

At the beginning of FY 2001-02, \$2,753,500 was appropriated for the provision of Perinatal SGF DMC services. The Department allocated \$2,073,941 to the counties. The remainder was retained at the Department to allow for adjustments throughout the year. These funds were allocated to Perinatal DMC counties based on the lesser of the county's final FY 2000-01 contract amount or projections based on claims in FY 2000-01. The amounts include final contract amounts held by the county and any direct DMC contracts within the county. At the May Revision the caseload estimate for FY 2001-02 was reduced to \$2,623,204.

**SGF Ongoing Perinatal- \$19,196,840**

The Department allocated \$19,196,840 for the statewide Perinatal SGF to each participating county. To determine the reduction, the Perinatal SGF non-DMC program funding level was based on the county's prior year (historical) level. A \$.75 million reduction was applied to the SGF Ongoing Perinatal allocation. Because it did not exceed five percent of the program's statewide allocation, the MBA counties did not participate in the reduction.

Counties were to indicate where reductions were made for perinatal services in their State-County contract, giving funding priority to residential programs. If residential services were reduced, then a justification to the Department was required.

**Women and Children's Residential Treatment Services (WCRTS)- \$6,665,200**

The Department allocated \$6,760,160 to the seven counties participating in the WCRTS programs. The allocation was based on program's final year grant award from the Center for Substance Abuse Treatment (CSAT). The FY 2001-02 allocation included a \$.25 million reduction. The counties that also receive SGF Perinatal funds were given the option to apply the reduction to either program.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

The WCRTS funds are exempt from the 10 percent county match requirement. This exemption applies only to State funding provided to replace the expired federal demonstration grants and not to State funds for any subsequent program expansion.

**PART III - REIMBURSEMENT**

**Parolee Services Network- \$10,180,729**

The California Department of Corrections (CDC) continued to make funding available for the Parolee Services Network and the Bay Area Services Network. Allocations were determined by CDC based on funding authority, utilization levels, and consideration of relative parolee populations in the covered counties (Alameda, Contra Costa, Fresno, Kern, Los Angeles, Marin, Napa, Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Mateo, Santa Clara, Solano, Sonoma).

**PART IV - SAPT BLOCK GRANT**

**FFY 2002 SAPT Increase- \$15,404,013**

The FFY 2002 SAPT Award included an increase of \$15,404,013. A total of \$13,184,013 of these funds was allocated in May 2002 to the counties for treatment and prevention services.

**FFY 2002 SAPT Discretionary-\$124,467,330**

\$124,467,330 was allocated at the FY 2000-01 level. Effective with the FFY 2001 award, the separate set-asides for alcohol (35 percent) and other drugs (35 percent) services were eliminated.

**FFY 2001 SAPT Increase- \$750,000**

The Department distributed \$750,000 to Santa Barbara County on a one-time basis. These funds were distributed with the FY 2001-02 NNA contract to augment the loss of federal funds in FY 2000-01.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

**FFY 2002 SAPT Discretionary Increase \$9,333,009**

The FFY 2002 SAPT increase provided an additional one-time increase of \$9,333,009 for discretionary purposes. These funds were allocated in May 2002 using the Standard Allocation Methodology, where each county received \$2,500 per \$1 million increase with the remainder allocated per capita.

**FFY 2002 SAPT Prevention Set-Aside \$42,003,122**

The Prevention Set-Aside was allocated at the FY 2000-01 historical level.

**FFY 2001 SAPT Prevention Set-Aside One-Time Increase- \$2,375,337**

The department distributed \$2,375,337 as a One-time increase to the SAPT Prevention Set-Aside in FY 2001-02. The increase was distributed proportionately to each county's FY 2001-02 SAPT Prevention Set-Aside allocation.

**FFY 2002 SAPT Prevention Set-Aside Ongoing Increase- \$2,375,337**

The \$2,375,337 ongoing increase was distributed proportionately to each county's FY 2001-02 SAPT Prevention Set-Aside.

**FFY 2002 SAPT Prevention Set-Aside Ongoing Increase- \$3,080,803**

The FFY 2002 SAPT Increase for the Prevention Set-Aside included \$3,080,803 in ongoing funds. These funds were allocated proportionately to each county's FY 2001-02 SAPT Prevention Set-Aside.

**FFY 2002 Friday Night Live-SAPT Prevention Set-Aside \$540,000**

The Friday Night Live (FNL) program continued at the historical level. A total of \$540,000 was allocated to the counties for the FNL program. Mariposa County was added to this program and received \$3,000. The FNL program is funded by the SAPT Prevention Set-Aside.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

**FFY 2002 Club Live- SAPT Prevention Set-Aside \$534,000**

Funding for the Club Live program continued at the historical level. A total of \$534,000 was allocated to fund Club Live programs at the county level. Mariposa County was added to the Club Live Program and received \$3,000. The Club Live program is funded by the SAPT Prevention Set-Aside.

**FFY 2002 SAPT HIV Services Set-Aside- \$11,164,130**

The Department allocated \$11,164,130 in HIV Set-Aside funds at the FY 2000-01 level.

**FFY 2001 SAPT HIV Set-Aside One-Time Increase- \$593,834**

The Department distributed \$593,834 as a One-time Increase to the HIV Set-Aside in FY 2001-02. The allocation of the increase to the HIV Set-Aside was calculated based on the five HIV Indicators.

**FFY 2002 SAPT HIV Set-Aside Ongoing Increase- \$593,834**

The Department distributed \$593,834 as an ongoing increase to the HIV Set-Aside in FY 2001-02. The allocation of the ongoing increase was calculated based on the five HIV Indicators and will be added to the HIV Set-Aside Base allocation for future fiscal years.

**FFY 2002 SAPT HIV Set-Aside Ongoing Increase- \$770,201**

The FFY 2002 HIV Set-Aside ongoing increase was \$770,201. These funds were allocated based on five HIV indicators and will be added to the HIV Set-Aside Base Allocation for future fiscal years.

The HIV Allocation Methodology uses the following indicators:

- 40% on the number of recent AIDS cases (1993 to present)
- 25% on the total population from communities of color
- 15% on the total population living in rural areas
- 15% on the population below the poverty level
- 5% on the number of seroprevalence childbearing women (formerly neonatal surveillance)

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

A ratio is calculated by dividing the weighted indicators for each county into the weighted total. Each county's ratio is then multiplied by the total available funds to determine each County's share. The funds of counties that decline the HIV Set-Aside are reallocated to the participating counties using the same allocation methodology.

**FFY 2002 SAPT Perinatal Set-Aside- \$15,554,000**

A total of \$15,554,000 was allocated to all participating counties at the FY 2000-01 historical level. Alpine County does not participate in the Perinatal Program. Their portion of the Set-Aside was allocated proportionately to the participating counties.

**FFY 2002 Female Offender Treatment Services- \$644,640**

\$644,640 was allocated to Los Angeles, Orange, Riverside, and San Bernardino counties at the historical level (FY 1991-92).

**FFY 2002 Special Projects/Media Campaigns- \$419,250**

Funds for special projects were allocated to: Alameda County for a limited term research project conducted by The Public Health Institute through FY 2001-02 (\$110,000); Los Angeles County for Alcohol Center for Women (\$95,000), Hispanic Women's Media Campaign, (\$65,000); African American Women's Media Campaign (\$27,500); Modoc County for the Indian Health Project (\$25,000); Shasta County for a limited term substance abuse coordinator (\$48,750); and Tulare County for the Tule River Tribal Council (\$48,000).

**FFY 2001 Special Projects/Media Campaigns- \$27,500**

Alameda County received \$27,500 in FY 2001-02 for the African American Women's Media Campaign.

**FFY 2002 SAPT Adolescent Treatment Program- \$4,750,000**

\$4,750,000 was allocated from FFY 2002 funds for the SAPT Adolescent Treatment Program. These funds were awarded to participating counties at the historical level.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

**FFY 2002 SAPT Youth Treatment – Discretionary- \$2,666,417**

\$2,666,417 was allocated from FFY 2002 funds for SAPT Youth Treatment Discretionary purposes. These funds were allocated at the FY 2000-01 level for all counties.

**FFY 2001 SAPT Drug Testing- Substance Abuse Treatment and Testing  
Accountability Act- \$8,324,000**

\$8,324,000 from the FFY 2001 SAPT Award was allocated to fund drug testing for Substance Abuse and Crime Prevention Act clients. These funds are available from July 1, 2001 to June 30, 2002, and can be used for drug testing or other services permitted under the SAPT Block Grant. These funds were allocated based on the following methodology of indicators and weighted amounts:

- 50% Per Capita using Population data from January 1, 2000
- 25% Treatment Caseload on November 1, 1999
- 25% Arrest Data for 1999

A ratio is calculated by dividing the weighted indicators for each county into the weighted total. Each county's ratio is then multiplied by the total available funds to determine each County's share.

**PART V - SAFE AND DRUG FREE SCHOOLS AND COMMUNITIES (SDFSC)**

**FFY 2002 SDFSC Community-Based Prevention- \$3,647,000**

The SDFSC grant funds were allocated at the FY 2000-01 level.

**ADP ALLOCATION METHODOLOGY:  
CURRENT AND HISTORICAL PRACTICES  
FY 2001-02  
ADDENDUM**

**GLOSSARY AND DEFINITIONS OF ACRONYMS**

ADP	Department of Alcohol and Drug Programs
AFF	Application For Funding
AIDS	Acquired Immune Deficiency Syndrome
AOD	Alcohol and Other Drugs
CADPAAC	County Alcohol and Drug Program Administrators Association of California
CalWORKS	California Work Opportunity and Responsibility to Kids
CDC	California Department of Corrections
CL	Club Live
CMI	California Mentor Initiative
CSAT	Center of Substance Abuse Treatment
DEPARTMENT	Department of Alcohol and Drug Programs
DMC	Drug Medi-Cal
DAC	Directors Advisory Council
FFY	Federal Fiscal Year
FNL	Friday Night Live
FY	Fiscal Year
HIV	Human Immunodeficiency Virus
IDU	Injection Drug User
LEVELS	Dollar Amount Received From Previous Year
MBA	Minimum Base Allocation
MOE	Maintenance Of Effort
NEW FUNDS	Funds Not Currently Allocated to Counties &/or Returned to State for Redistribution
NNA	Negotiated Net Amount
RFA	Request For Application
SAPT	Substance Abuse Prevention and Treatment
SDFSC	Safe and Drug Free Schools and Communities
SGF	State General Fund
SSI/DA/A	Supplemental Security Income for Drug Addiction or Alcoholism
TANF	Temporary Assistance for Needy Families
WCRTS	Women and Children Residential Treatment Services